

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: William J. Armstrong et al. : Date: July 8, 2002  
Group Art Unit: 2121 : IBM Corporation  
Examiner: Unassigned : Intellectual Property Law  
Serial No.: 09/939,235 : Dept. 917, Bldg. 006-1  
Filed: August 24, 2001 : 3605 Highway 52 North  
Title: YIELD ON MULTITHREADED : Rochester, MN 55901  
PROCESSORS

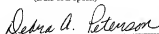
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

July 8, 2002

(Date of Deposit)



Debra A. Peterson

INFORMATION DISCLOSURE STATEMENT

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

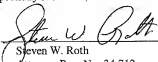
PATENT

The references listed herein were cited in a search report by a foreign patent office, a copy of which is attached hereto. If any references listed herein are in a language other than English, said foreign search report is submitted in satisfaction of the requirements of 37 C.F.R. 1.98(a)(3). Unless a translation accompanies this Statement, no written English-language translation of any non-English language document, or portion thereof, listed herein, is within the possession custody or control of, or is readily available to any individual designated in 37 C.F.R. 1.56(c).

This Information Disclosure Statement is being filed under 37 C.F.R. 1.97(c) before a final action or notice of allowance. No filing fee is required because each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement (certification provided below).

Respectfully submitted,

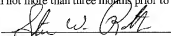
By

  
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Fax: 1-507-253-2382

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CERTIFICATION

I hereby certify that each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

  
Steven W. Roth

July 8, 2002

Date

PTO/SB/08A

Application Number.: 09/939,235  
 Filing Date: August 24, 2001  
 First Named Inventor: Armstrong et al.  
 Art Unit: 2121  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920010252US1

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**
Sheet 1 of 1
**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 5,325,525	06-28-1994	Shan et al.	
		US - 5,504,670	04-02-1996	Barth et al.	
		US -			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

Docket No.: ROC920010252US1  
Applicant(s): Armstrong et al.  
Serial No.: 09/939,235  
Filed: August 24, 2001

The U.S. Patent Office acknowledges and has  
stamped hereon the date of receipt of the items  
checked below which were mailed on

July 8, 2002

- ☐ Affidavit  
☐ Amendment  
☐ Patent Application Papers - Transmittal  
☐ Spec. & Abstract:      pages, incl.      claims  
☐ Declaration  
☐ Drawings:      sheets  
☐ Assignment; Record Cover Sheet (2 copies)  
☒ Info. Discl. Stmt., PTO/SB/08A & Refs. (Foreign)  
☐ Preliminary Amendment  
☐ Notice of Appeal  
☐ Appeal Brief  
☐ Petition  
☐ Request for Extension of Time  
☐ Response  
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